



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

# 7  
B. Webl  
4/15/03

Applicant(s): Bardy

Serial No.: 10/042,402

Filed: 1/7/2002

Title: System And Method For Providing  
Diagnosis And Monitoring Of  
Congestive Heart Failure For Use In  
Automated Patient Care

Group Art Unit:

3762

Examiner:

Unassigned

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APR 11 2003  
TECHNOLOGY CENTER R9700

Attorney Docket No.: 003.0254.US.CON

Commissioner for Patents  
Washington, D.C. 20231

**INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

This Information Disclosure Statement is submitted:

- ☒ under 37 CFR 1.97(b), or  
(Within three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97(c) together with either a:  
☐ Statement under 37 CFR 1.97(e), or  
☐ a \$180.00 fee under 37 CFR 1.17(p), or  
(After the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97(d) together with a:  
☐ Statement under 37 CFR 1.97(e), and  
☐ a \$180.00 fee set forth in 37 CFR 1.17(p).  
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

☒ Applicant(s) submit herewith Form PTO 1449-Information Disclosure Citation together with copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

The relevance of the attached references is that this is the closest art of which Applicant is aware. Applicant submits that the above references taken alone or in combination neither anticipate nor render obvious the present invention. Consideration of the foregoing in relation to this application is respectfully requested.

It is requested that the information disclosed herein be made of record in this application.

Respectfully submitted,



Patrick J.S. Inouye, Esq.  
Attorney/Agent for Applicant(s)  
Reg. No. 40297

The Law Offices of Patrick J.S. Inouye  
810 3<sup>rd</sup> Avenue, Suite 258  
Seattle, WA 98104  
(206) 381-3900  
(206) 381-3999

Date: **MAR 20 2003**

Telephone No.: (206) 381-3900



**22895**

PATENT TRADEMARK OFFICE

3762



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PTO/SB/21 (08-00)

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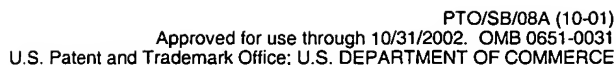
<h1 style="margin: 0;">TRANSMITTAL FORM</h1> <p style="margin: 5px 0;">(to be used for all correspondence after initial filing)</p>	<b>Application Number</b>	10/042,402	
	<b>Filing Date</b>	Jan 7, 2002	
	<b>First Named Inventor</b>	Bardy, Gust H.	
	<b>Group Art Unit</b>	3762	
	<b>Examiner Name</b>	Unassigned	
<b>Total Number of Pages in This Submission</b>		<b>Attorney Docket Number</b>	020.0254.US.CON

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<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached  <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement and Transmittal Letter <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):  39 References Postcard
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Signature	
Date	MAR 20 2003

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Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>				<b>Complete if Known</b>	
				Application Number	10/042,402
				Filing Date	1/7/2002
				First Named Inventor	Bardy
				Art Unit	3736
				Examiner Name	Unassigned
				Attorney Docket Number	003.0254.US.CON
Sheet	1	of	2		

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
		US-4974607	12/4/90	Miwa	
		US-5576952	11/19/96	Stutman	
		US-5743267	4/28/98	Nikolic	
		US-5897493	4/27/98	Brown	
		US-6302844	10/16/01	Walker	
		US-6336900	1/8/02	Alleckson	
		US-6073046	6/6/00	Patel	
		US-5749907	5/12/98	Mann	
		US-4531527	7/30/85	Reinhold, Jr. et al.	
		US-4809697	4/7/1989	Causey, III et al.	
		US-4958645	9/25/1990	Cadell et al.	
		US-5416695	5/16/1995	Stutman et al.	
		US-5421343	6/6/1995	Feng	
		US-5687734	11/18/1997	Dempsey et al.	
		US- 6246992	6/12/2001	Brown	
		US- 5749908	5/12/1998	Snell	
		US- 5720771	2/24/1998	Snell	
		US- 5697959	12/16/1997	Poore	
		US- 5724580	3/3/1998	Levin et al.	

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Examiner Signature		Date Considered	
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<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	Application Number	10/042,402
	Filing Date	January 7, 2002
	First Named Inventor	Bardy, Gust H.
	Art Unit	3762
	Examiner Name	Unassigned
Total Number of Pages in This Submission	Attorney Docket Number	020.0254.US.CON

ENCLOSURES <i>(check all that apply)</i>		
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Remarks		
<div style="text-align: center;"><b>RECEIVED</b> DEC 18 2003 TECHNOLOGY CENTER R3700</div> <div style="text-align: right;"> <b>22895</b> PATENT TRADEMARK OFFICE</div>		

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Date	December 11, 2003

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